

# CITIBANK BROKERAGE SERVICES APPLICATION FORM



For New to Bank, Citibank Credit Card and Ready Credit Customers

\*Please complete Parts I, II and III

## Part I

<b>Please note that we are only able to accept applications from an individual:</b>
<input type="checkbox"/> 21 years old and above <input type="checkbox"/> Not a US Person <input type="checkbox"/> Resident or Domiciled only in Singapore
<b>Please tick the relevant boxes.</b>
Documents required for both main and joint applicants (when applicable)
<b>For Singaporeans and Singapore PRs, please provide:</b>
<input type="checkbox"/> A signed copy of your NRIC <input type="checkbox"/> One of the following documents for Proof of Address (if your home address on the application form does not match the home address on your ID document) • Residential Phone Bill • Utility Bill • Bank Statement
<b>For Foreigners, please provide the following documents:</b>
<input type="checkbox"/> A signed copy of your valid passport. (Passport copy should be valid for a minimum period of 6 months from the date of submission) <input type="checkbox"/> One of the following documents • Work Permit • Dependent's Pass • Employment Pass • Student's Pass <input type="checkbox"/> One of the following documents for Proof of Address • Residential Phone Bill • Utility Bill • Bank Statement
<b>Additional Documents Required:</b>
• Customer Account Review / Risk Warning Statement Form • W- 8Ben Form • Common Reporting Standards Form • Source of Wealth Addendum
<b>Market Selection:</b> (Please note that U.S. market will open by default)
<input checked="" type="checkbox"/> U.S. market <input type="checkbox"/> HK market <input type="checkbox"/> SG market

PERSONAL DETAILS – MAIN APPLICANT	
Full Name as in NRIC/Passport (Please Underline Surname)	
<input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Mdm <input type="checkbox"/> Dr	
Mother's Maiden Name (for security verification)	
Gender	Date of Birth
<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="text"/> Day <input type="text"/> Mth <input type="text"/> Yr
Country of Birth	
City / Place of Birth	
Singapore Citizen	
NRIC: _____ <input type="checkbox"/> Citizen <input type="checkbox"/> PR	
US Green Card	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<input type="checkbox"/> Other Nationality (if applicable) Country: _____ Passport Number: _____ Passport Issuance Date: _____ Passport Expiry Date: _____	
<b>Additional Nationality</b> (List the countries which you hold additional citizenship/nationality)	
Country 1 (if applicable)	
Country: _____	
Passport Number: _____	
Passport Issuance Date: _____	
Passport Expiry Date: _____	

PERSONAL DETAILS – JOINT APPLICANT	
Full Name as in NRIC/Passport (Please Underline Surname)	
<input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Mdm <input type="checkbox"/> Dr	
Mother's Maiden Name (for security verification)	
Gender	Date of Birth
<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="text"/> Day <input type="text"/> Mth <input type="text"/> Yr
Country of Birth	
City / Place of Birth	
Singapore Citizen	
NRIC: _____ <input type="checkbox"/> Citizen <input type="checkbox"/> PR	
US Green Card	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<input type="checkbox"/> Other Nationality (if applicable) Country: _____ Passport Number: _____ Passport Issuance Date: _____ Passport Expiry Date: _____	
<b>Additional Nationality</b> (List the countries which you hold additional citizenship/nationality)	
Country 1 (if applicable)	
Country: _____	
Passport Number: _____	
Passport Issuance Date: _____	
Passport Expiry Date: _____	

**PART I (Continued)**

Country 2 (if applicable) Country: _____ Passport Number: _____ Passport Issuance Date: _____ Passport Expiry Date: _____	
Tax Residency (List all countries in which you currently pay taxes) <input type="checkbox"/> Singapore <input type="checkbox"/> Other country 1: _____ <input type="checkbox"/> Other country 2: _____ <input type="checkbox"/> Other country 3: _____	
Home Address   Postal Code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Mailing Address (if different from your Home Address)   Postal Code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Is your home address the same as what is shown in your ID document? <input type="checkbox"/> Yes <input type="checkbox"/> No (If No, please provide a copy of Phone Bill or Utility Bill or Bank Statement)  Customer Signature _____	
Register your mobile number with us to trade online and receive alerts. Home: _____ Office: _____ Mobile: + ( ) _____	
Preferred Email Address	
Alternative Email	
Employment Status <input type="checkbox"/> Employed/Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Housewife <input type="checkbox"/> Unemployed	
Company Name and Address	
Occupation	
Monthly Income <input type="checkbox"/> Below \$2,500 <input type="checkbox"/> \$2,500 - \$5,000 <input type="checkbox"/> \$5,001 - \$8,000 <input type="checkbox"/> \$8,001 - \$10,000 <input type="checkbox"/> Above \$10,000	
<b>Electronic Statements</b> Electronic Statements is a service where all statements will be available to you via Citibank Online. If you would like to enrol, please tick the box. <input type="checkbox"/> I would like to enroll for Electronic Statements	
<b>U.S. Tax Declaration</b> <input type="checkbox"/> Non-U.S. Person	
<b>Citi Phone Self-Service Banking (T-Pin)</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>New Citibank ATM/Debit card (Optional)</b> Your Cash Trading Account(s) will be linked to your existing Citibank ATM/Debit card. If you do not wish to link your Cash Trading Account(s) to your existing card, please tick the box for a new Citibank ATM/Debit card to be issued to you. <input type="checkbox"/> I would like a new Citibank ATM card to be issued. <small>*Please note that the new Citibank ATM/Debit card will not be linked to your existing banking account(s), and will only be linked to the Brokerage Cash Trading Account(s) that will be opened with this application.</small>	

Country 2 (if applicable) Country: _____ Passport Number: _____ Passport Issuance Date: _____ Passport Expiry Date: _____	
Tax Residency (List all countries in which you currently pay taxes) <input type="checkbox"/> Singapore <input type="checkbox"/> Other country 1: _____ <input type="checkbox"/> Other country 2: _____ <input type="checkbox"/> Other country 3: _____	
Home Address   Postal Code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Mailing Address (if different from your Home Address)   Postal Code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Is your home address the same as what is shown in your ID document? <input type="checkbox"/> Yes <input type="checkbox"/> No (If No, please provide a copy of Phone Bill or Utility Bill or Bank Statement)  Customer Signature _____	
Register your mobile number with us to trade online and receive alerts. Home: _____ Office: _____ Mobile: + ( ) _____	
Preferred Email Address	
Alternative Email	
Employment Status <input type="checkbox"/> Employed/Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Housewife <input type="checkbox"/> Unemployed	
Company Name and Address	
Occupation	
Monthly Income <input type="checkbox"/> Below \$2,500 <input type="checkbox"/> \$2,500 - \$5,000 <input type="checkbox"/> \$5,001 - \$8,000 <input type="checkbox"/> \$8,001 - \$10,000 <input type="checkbox"/> Above \$10,000	
<b>Electronic Statements</b> Electronic Statements is a service where all statements will be available to you via Citibank Online. If you would like to enrol, please tick the box. <input type="checkbox"/> I would like to enroll for Electronic Statements	
<b>U.S. Tax Declaration</b> <input type="checkbox"/> Non-U.S. Person	
<b>Citi Phone Self-Service Banking (T-Pin)</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>New Citibank ATM/Debit card (Optional)</b> Your Cash Trading Account(s) will be linked to your existing Citibank ATM/Debit card. If you do not wish to link your Cash Trading Account(s) to your existing card, please tick the box for a new Citibank ATM/Debit card to be issued to you. <input type="checkbox"/> I would like a new Citibank ATM card to be issued. <small>*Please note that the new Citibank ATM/Debit card will not be linked to your existing banking account(s), and will only be linked to the Brokerage Cash Trading Account(s) that will be opened with this application.</small>	

### ADDITIONAL INFORMATION

What is your objective in opening an account:

Savings/Investment  Payroll  Transactions e.g. Giro

Source of Funds:

Personal Savings  Payroll/Salary from Employer  Rental Income  
 Investment  Sale of Property  Inheritance  
 Pension  Business Income

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 Investment  Sale of Property  Inheritance  
 Pension  Business Income

### CROSS BORDER TRANSFERS / CASH DEPOSITS

Will there be any cross-border wires?

No  Yes if yes, please answer a) and b)

a) Incoming Wire Primary Jurisdictions (indicate Countries)

b) Outgoing Wire Primary Jurisdictions (indicate Countries)

Expected total monthly cash deposit to exceed SGD9000?

No  Yes if yes, please answer c) d) e) and f)

c) Incoming Cash Activity Volume d) Outgoing Cash Activity Volume

e) Incoming Cash Activity Value f) Outgoing Cash Activity Value

Expected total paper products (eg: Money Orders, Cash Letters, Traveler's Checks) other than checks to exceed SGD9000?

No  Yes

Do you derive revenue or wealth directly from the production, sale of distribution of cannabis#?

No  Yes

# Cannabis related businesses refer to clients that derive 10% or more of their total revenue/ source of wealth from the production, sale and distribution of cannabis. This includes handling cannabis at the point from the seed to sale as well as entities engaged in the manufacture of cannabis drug paraphernalia, the manufacture of equipment used solely in the production of cannabis, and entities engaged in the promotion of cannabis use.

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e) Incoming Cash Activity Value f) Outgoing Cash Activity Value

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### Part II

Investments are subject to certain risks. Before making a decision to invest in any investment product, it is advisable that you understand the features, benefits and risks involved in investing in the product and assess the suitability of the product in light of your risk appetite, investment objectives, financial situation and needs. You should not invest in any product unless you fully understand and are willing to assume the risks associated with it. You should obtain independent professional advice as you consider necessary.

In the case of joint accounts, Citibank requests account holders to determine between them which individual's knowledge and experience should be recorded by Citibank and assigned to the joint account.

I confirm to Citibank that I have knowledge and/or experience in Exchange Traded Products (described below) based on past investment experience, academic qualification, work experience and/or other means. "Exchange Traded Products" refer to products such as common stock, preference shares, bonds, American Depository Receipts, Real Estate Investment Trusts, Exchange Traded Funds, Exchange Traded Notes, Exchange Traded Business Trusts, warrants that are traded on stock markets.

With effect from 1 April 2019, you are required to have a valid Investment Risk Profile and the required Knowledge and/or Experience in 'Equity' and/or 'Exchange Traded Fund' to trade in stocks and/or Exchange Traded Funds.



Signature of Applicant providing confirmation

Name of Applicant: \_\_\_\_\_

## CUSTOMER'S DECLARATION

By signing below, I/we confirm to Citibank and the following:

1. I/We want to trade in the selected markets offered by Citibank.
2. I/We confirm that the information provided in the Citibank Brokerage Services Application Form and in any document furnished by me/us in connection with such application is true, accurate and complete. I/We enclose a photocopy of my/our NRIC/passport showing my/our particulars.
3. Please refer to [www.citibank.com.sg](http://www.citibank.com.sg) for the Terms and Conditions for Citibank Brokerage Services, Citibank Singapore Global Consumer Banking Terms and Conditions and the Citibank Online User Agreement.
4. I/We confirm that I am/we are the beneficial owner(s) of the brokerage account.
5. I/We confirm that at the time of this application, I am/we are not undischarged bankrupt(s) and that there has been no statutory demand(s) served on me/us nor legal proceedings commenced against me/us.
6. I/We shall supply any information required by Citibank in connection with the processing of this application and the opening, operation and maintenance of my/our account(s) consequent upon the approval by Citibank of this application and shall notify Citibank promptly or any change to such information.
7. I/We agree that the approval of this application is at the sole discretion of Citibank.
8. Without prejudice to the generality of the terms permitting disclosure of information in Citibank Singapore Global Consumer Banking Terms and Conditions, I/we authorize the transfer and disclosure of any information relating to me/us and my/our account(s) with Citibank, to and between the branches, subsidiaries, representative offices, affiliates, employees and agents of Citibank and third parties selected by any of them may transfer and disclose any information as may be required by any applicable law, court, regulator or legal process. Where Citibank is a member of, or subscriber for the information sharing services of, any credit bureau recognized by the Monetary Authority of Singapore ("MAS") under or pursuant to the Banking Act (Chapter 19), I/we authorize:
  - (a) Citibank to transfer and disclose to any such bureau; and
  - (b) any such bureau to transfer and disclose to any fellow member or subscriber as may be recognized as such by MAS, any information relating to me/us and/or any of my/our account(s) with Citibank (and for such purposes) as may be permitted under or pursuant to the Banking Act (Chapter 19).
- 8A. I/we confirm that I am/we are aware of and understand the Privacy Circular prepared pursuant to the Personal Data Protection Act 2012 of Singapore ("PDPA") for the compliance of PDPA. I/We warrant and represent to you that to the extent required by applicable law or regulation, I/we have provided notice to and obtained consent from the Relevant Individuals (as defined in the Privacy Circular), for you, Citibank Entities (as defined in the Privacy Circular), and its agents and contractors to collect, use, disclose and/or otherwise deal with Personal Data (as defined in the PDPA), for purposes described in the Privacy Circular, the Agreement and/or the Citibank Singapore Global Consumer Banking Terms and Conditions, prior to the disclosure of such Personal Data to the Bank.
9. I/We understand that the price of securities can and does fluctuate, sometimes dramatically, and that any individual security may experience upward or downward movements, and may even become valueless. I/We therefore acknowledge that it is as likely that losses will be incurred rather than profit made as a result of buying and selling securities. This is the risk that I am/we are prepared to accept. I/We take complete responsibility for any outcome of buying and selling securities and agree not to hold Citibank responsible for any losses resulting from my/our trading strategy except in the case of Citibank's or its employees' gross negligence, wilful default or fraud.
10. (Where I/we do not already have a Citibank ATM/Debit Card)
 

I/We acknowledge and agree that in order for me/us to enjoy the brokerage services offered via Citibank's website (defined below), I/we am/are required to apply for a Citibank ATM Card. I/We hereby request that a Citibank ATM Card be issued to me/us upon approval of my/our application here under and I/we agree to be bound by the terms and conditions applicable to such Citibank ATM Card as set out in the Citibank Singapore Global Consumer Banking Terms and Conditions.
11. I am/We are aware that I/we may request for advice from Citibank in connection with transactions in my/our brokerage account(s). I/We acknowledge and agree that any advice (or recommendation) provided by Citibank pursuant to my/our request shall be limited to advice (or recommendation) the provision of which is required under the Notice on the Sale of Investment Products (SFA 04-N12) and/or the Notice on Recommendations on Investment Products (FAA-N16) issued by the Monetary Authority of Singapore. The references to these notices shall include any variation, amendment or supplemental issued by the Monetary Authority of Singapore. Without limitation to the generality of the foregoing, Citibank is exempt from complying with the Notice on Sale of Investment Products and the Notice on Recommendations on Investment Products where I am an/we are Accredited Investor(s).
 

I/We understand that any advice (or recommendation) provided by Citibank pursuant to my/our request will be based on information I/we provide to Citibank about my/our risk profile and investment objectives. The advice (or recommendation) is provided as at a specific point in time and the performance of my/our investments will not be actively monitored by Citibank. I/We acknowledge and agree that Citibank does not undertake to, and is not obliged to, undertake a review, manage or monitor my/our investment(s). I/We further acknowledge and agree that no investment advisory or fund management services are being provided by Citibank in connection with my/our Citibank Brokerage Account.
12. US Tax Declaration
  - (a) By checking the appropriate box under the U.S. Tax Declaration set out in this form (Part I), I/we declare my/our tax status under U.S. tax law. I/We understand that a false statement or misrepresentation of the tax status by a U.S. Person could lead to penalties under U.S. tax laws.
  - (b) In the event if the U.S. tax declaration box in Part I has not been checked, I/we represent and warrant that I am/we are not a U.S. Person and that I am/we are not acting for or on behalf of a U.S. Person. A false statement or misrepresentation of tax status by a U.S. Person could lead to penalties under the U.S. laws.
  - (c) I/We must provide you with such information as you may require from time to time, and must update that information as you require from time to time, to enable you or any Citigroup Organisation to comply with any Law or Regulation. In particular, I/we must contact you immediately or at least within 30 days if at any time in the future I/we become a U.S. Person (this does not apply if I/we have already informed you that I was/we were a U.S. Person when I/we opened the account). If I/we do become a U.S. Person, I/we must complete and return to you as soon as reasonably possible any relevant U.S. tax or waiver document that may apply to me/us and that you may request from time to time.
  - (d) For purposes of complying with the applicable Law or Regulation, I/we waive any bank secrecy, privacy or data protection rights related to my/our account(s). "Citigroup Organisation" means any affiliate or subsidiary of Citigroup, Inc. "Law or Regulation" means the law or regulation of any jurisdiction, domestic or foreign or any agreement entered into with or between any competent regulator prosecuting, tax, governmental authority in any jurisdiction, domestic or foreign "U.S." or "United States" refers to the United States of America "U.S. Person" mean any of the following:
    - (i) a United States citizen;
    - (ii) a United States resident; meaning:
      - a. a green card holder, or
      - b. an individual physically present in the United States for 31 days in the current calendar year and 183 days during the 3 year period that includes the current year and the two years immediately before that, counting:
        - i. all the days present in the U.S. in the current year,
        - ii. 1/3 of the days present in the U.S. in the first year before the current year, and iii. 1/6 of the days present in the U.S. in the second year before the current year; or
      - c. an individual designated a resident for U.S. tax purposes; or
      - d. an individual with a U.S. mailing address or U.S. telephone number.
    - (iii) a corporation partnership or entity organised or existing under the laws of any state territory or possession of the United States; (iv) an estate or trust of which any executor, administrator or trustee is a U.S. Person;
    - (iv) an agency or branch of a foreign entity located in the United States;
    - (v) a discretionary or non-discretionary account held by a fiduciary for the benefit or account of a U.S. Person;
    - (vi) a non-U.S. partnership, corporation or entity owned or controlled by a U.S. Person (ownership of 10% or more by a U.S. Person); or
    - (vii) a partnership, corporation or entity with a U.S. mailing address or U.S. telephone number.
 Citibank assumes no liability for any tax withheld on any reportable payments made to a customer under applicable Law or Regulation.
13. I am/We are not an individual resident in the European Union, European Economic Area, Switzerland, Guernsey, Jersey, Monaco, San Marino, Vatican, and The Isle of Man, the UK, Brazil, New Zealand, Jamaica, Ecuador or Sri Lanka ("GDPR Individual"). I/We further acknowledge that this document is not, and should not be construed as, an offer, invitation or solicitation to apply for the brokerage service mentioned herein to such individuals. In the event that I/we become a GDPR Individual, I/we agree that the Bank shall be entitled to do all acts and things that the Bank deems necessary to comply with all applicable General Data Protection Regulation laws and regulations, including but not limited to a liquidation of the Account(s) on such terms as the Bank may determine. I/We agree to bear all costs and expenses that the Bank may incur as a result. I/We undertake to inform the Bank of any changes in my/our citizenship or residential status within 30 days of such change.
14. I/We confirm that in compliance with Section 23A of the Federal Reserve Act, I/We will not be purchasing Citigroup Securities by using loans/overdrafts granted by any Citigroup Organisation.
15. Counterparts
 

This Brokerage Application Form may be executed in any number of counterparts, each of which, when executed and delivered (whether in original, fax or electronic copy), will be an original, but all the counterparts together will constitute one and the same document.
16. For Important Investment Information, please refer to <https://www.citibank.com.sg/wealth-management/investments/pdf/important-investment-information.pdf>

**PART III (Continued)**

**Definitions**

“Citibank” means Citibank Singapore Limited.

“Citibank website” means www.citibank.com.sg

“Business Day” refers to any day on which banks are open for business in Singapore other than Saturday, Sunday and gazette public holidays.



“Accredited Investor” has the meaning ascribed to it in the Securities and Futures Act (Chapter 289) and refers to:

- (i) an individual:
  - (A) whose net personal assets exceed in value \$2 million (or its equivalent in a foreign currency) or such other amount as the MAS may prescribe in place of the first amount; or
  - (B) whose net financial assets exceed \$1 million (or its equivalent in a foreign currency) or such other amount as the MAS may prescribe in place of the first amount; or
  - (C) whose income in the preceding 12 months is not less than \$300,000 (or its equivalent in a foreign currency) or such other amount as the MAS may prescribe in place of the first amount;
- (ii) a corporation with net assets exceeding \$10 million in value (or its equivalent in a foreign currency) or such other amount as the MAS may prescribe, in place of the first amount, as determined by:
  - (A) the most recent audited balance-sheet of the corporation; or
  - (B) where the corporation is not required to prepare audited accounts regularly, a balance-sheet of the corporation certified by the corporation as giving a true and fair view of the state of affairs of the corporation as of the date of the balance-sheet, which date shall be within the preceding 12 months;
- (iii) the trustee of such trust as the MAS may prescribe, when acting in that capacity; or
- (iv) such other person as the MAS may prescribe.

**Deposit Insurance Scheme**

Singapore dollar deposits of non-bank depositors are insured by the Singapore Deposit Insurance Corporation, for up to S\$100,000 in aggregate per depositor per Scheme member by law. Foreign currency deposits, dual currency investments, structured deposits and other investment products are not insured.

**Applicant’s Acknowledgement and Agreement**

Signature: \_\_\_\_\_  Signature: \_\_\_\_\_   
 (Main Applicant) (Joint Applicant)

Date: \_\_\_\_\_ Date: \_\_\_\_\_

(Signature will be kept as specimen and is applicable to accounts held with Citibank Singapore Limited.)

(A) Address validation when (tick where applicable):	Reviewed and Checked by 2nd Verifier*	
<input type="checkbox"/> Primary identity document does not contain customer address <input type="checkbox"/> Mailing address cannot be substantiated <input type="checkbox"/> Address Differ; <input type="checkbox"/> Mailing or Home address ≠ address in primary identity document <input type="checkbox"/> Mailing address ≠ home address in AO form	<b>For Face-to-Face</b>          _____ Name and Signature*  _____ Date	<b>For Callback: (in absence of 2nd verifier)</b>   Date:  Time:  Extension:      _____ Name and Signature*  _____ Date
(B) Critical Demographic Details alterations on the form		
Includes any of the following: 1. Name 2. Identity / passport type & number 3. Nationality 4. Date of birth 5. Mother’s maiden name 6. Address 7. Alternate mailing address 8. Email address 9. Phone / Fax number		

**For Bank Use Only**

Source: \_\_\_\_\_ Name/RCAO: \_\_\_\_\_

Date: \_\_\_\_\_ Signature Verified By: \_\_\_\_\_

Instruction Taken By: \_\_\_\_\_

# CITIBANK BROKERAGE CUSTOMER ACCOUNT REVIEW ASSESSMENT RISK WARNING STATEMENT



To: Citibank Singapore Limited Global Consumer Bank ("Citibank")

## (A) CUSTOMER ACCOUNT REVIEW ASSESSMENT

To enable Citibank to assess my/our investment knowledge and experience, I/we hereby confirm that (tick where appropriate):

### IMPORTANT NOTE:

Please note that all joint accountholders are required to complete the Customer Account Review Assessment.

Please note that it is very important for you to provide complete and accurate information, as it may affect the outcome of the Customer Account Review.

Main Accountholder
<input type="checkbox"/> My highest educational qualification is: <input type="checkbox"/> Primary <input type="checkbox"/> Secondary <input type="checkbox"/> Pre-University <input type="checkbox"/> Diploma <input type="checkbox"/> Degree <input type="checkbox"/> Master <input type="checkbox"/> PhD
<input type="checkbox"/> I have a Diploma or higher education in: <input type="checkbox"/> Accountancy <input type="checkbox"/> Business/Business Administration/ Business Management/Business Studies <input type="checkbox"/> Actuarial Science <input type="checkbox"/> Capital Markets/Commerce <input type="checkbox"/> Economics <input type="checkbox"/> Computational Finance <input type="checkbox"/> Financial Engineering <input type="checkbox"/> Finance <input type="checkbox"/> Insurance <input type="checkbox"/> Financial Planning
<input type="checkbox"/> I have one or more of the below Professional Finance-related Qualifications: <input type="checkbox"/> Chartered Financial Analyst (CFA) <input type="checkbox"/> Association of Chartered Certified Accountants (ACCA) <input type="checkbox"/> Associate Wealth Planner or Certified Financial Planner <input type="checkbox"/> Certified Financial Risk Manager <input type="checkbox"/> Chartered Alternative Investment Analyst (CAIA) <input type="checkbox"/> Chartered Financial Consultant
<input type="checkbox"/> I have transacted in listed Specified Investment Products <sup>1</sup> at least six times in the preceding three years (stocks, warrants, options, exchange traded funds/notes, futures and certificates listed in Singapore and securities that are listed/quoted on a securities/ futures exchange outside Singapore).
<input type="checkbox"/> I have a minimum of three consecutive years of working experience in one of the below in the last ten years: <input type="checkbox"/> Development of, structuring of, management of, sale of, trading of, research on or analysis of investment products <input type="checkbox"/> Provision of training in investment products <input type="checkbox"/> Accountancy or related <input type="checkbox"/> Actuarial science or related <input type="checkbox"/> Treasury or related <input type="checkbox"/> Financial risk management activities <input type="checkbox"/> Provision of legal advice or possession of legal expertise on any of the above areas
<b>OR</b> <input type="checkbox"/> I do not possess any of the qualifying criteria described above which is mandated under The Securities and Futures Act (Cap 289) of Singapore for the purposes of passing the Customer Account Review ("CAR") Assessment. Dear Customer, if you do not possess any of the qualifying criteria and have not passed the Customer Account Review Assessment, we recommend that you go through an online education program arranged by The Singapore Exchange ("SGX") on Specified Investment Products to have sufficient understanding of the features and risks of derivatives. The online education program can be accessed at: <a href="https://onlineeducation.sgx.com/specifiedinvestmentproducts">https://onlineeducation.sgx.com/specifiedinvestmentproducts</a> If you have completed the online education program arranged by SGX on Specified Investment Products please check box to confirm if appropriate:
<input type="checkbox"/> I confirm that I have completed and passed the online education program arranged by SGX and have sufficient understanding of the features and risks of derivatives; And here is the score of my assessment at the end of the SGX learning module taken: Date of Assessment(s) : _____ Score(s) : _____
I also confirm and declare that I have not been refused the opening of a Specified Investment Product trading account by another financial institution. I understand that I have fulfilled the CAR requirement or have completed and passed an online education program in Specified Investment Products. I further understand that Citibank may periodically request for my confirmation of any changes to this CAR record and I acknowledge that it is my responsibility to inform Citibank where any such changes have occurred or whenever such confirmation request is made to me. I further acknowledge that I understand that any inaccurate or incomplete information that I have provided/will provide may affect the outcome of the CAR. Regardless of the outcome of the Customer Account Review, I understand that I can, at any time, request for advice concerning a Specified Investment Product.

Joint Accountholder
<input type="checkbox"/> My highest educational qualification is: <input type="checkbox"/> Primary <input type="checkbox"/> Secondary <input type="checkbox"/> Pre-University <input type="checkbox"/> Diploma <input type="checkbox"/> Degree <input type="checkbox"/> Master <input type="checkbox"/> PhD
<input type="checkbox"/> I have a Diploma or higher education in: <input type="checkbox"/> Accountancy <input type="checkbox"/> Business/Business Administration/ Business Management/Business Studies <input type="checkbox"/> Actuarial Science <input type="checkbox"/> Capital Markets/Commerce <input type="checkbox"/> Economics <input type="checkbox"/> Computational Finance <input type="checkbox"/> Financial Engineering <input type="checkbox"/> Finance <input type="checkbox"/> Insurance <input type="checkbox"/> Financial Planning
<input type="checkbox"/> I have one or more of the below Professional Finance-related Qualifications: <input type="checkbox"/> Chartered Financial Analyst (CFA) <input type="checkbox"/> Association of Chartered Certified Accountants (ACCA) <input type="checkbox"/> Associate Wealth Planner or Certified Financial Planner <input type="checkbox"/> Certified Financial Risk Manager <input type="checkbox"/> Chartered Alternative Investment Analyst (CAIA) <input type="checkbox"/> Chartered Financial Consultant
<input type="checkbox"/> I have transacted in listed Specified Investment Products <sup>1</sup> at least six times in the preceding three years (stocks, warrants, options, exchange traded funds/ notes, futures and certificates listed in Singapore and securities that are listed/quoted on a securities/ futures exchange outside Singapore).
<input type="checkbox"/> I have a minimum of three consecutive years of working experience in one of the below in the last ten years: <input type="checkbox"/> Development of, structuring of, management of, sale of, trading of, research on or analysis of investment products <input type="checkbox"/> Provision of training in investment products <input type="checkbox"/> Accountancy or related <input type="checkbox"/> Actuarial science or related <input type="checkbox"/> Treasury or related <input type="checkbox"/> Financial risk management activities <input type="checkbox"/> Provision of legal advice or possession of legal expertise on any of the above areas
<b>OR</b> <input type="checkbox"/> I do not possess any of the qualifying criteria described above which is mandated under The Securities and Futures Act (Cap 289) of Singapore for the purposes of passing the Customer Account Review ("CAR") Assessment. Dear Customer, if you do not possess any of the qualifying criteria and have not passed the Customer Account Review Assessment, we recommend that you go through an online education program arranged by The Singapore Exchange ("SGX") on Specified Investment Products to have sufficient understanding of the features and risks of derivatives. The online education program can be accessed at: <a href="https://onlineeducation.sgx.com/specifiedinvestmentproducts">https://onlineeducation.sgx.com/specifiedinvestmentproducts</a> If you have completed the online education program arranged by SGX on Specified Investment Products please check box to confirm if appropriate:
<input type="checkbox"/> I confirm that I have completed and passed the online education program arranged by SGX and have sufficient understanding of the features and risks of derivatives; And here is the score of my assessment at the end of the SGX learning module taken: Date of Assessment(s) : _____ Score(s) : _____
I also confirm and declare that I have not been refused the opening of a Specified Investment Product trading account by another financial institution. I understand that I have fulfilled the CAR requirement or have completed and passed an online education program in Specified Investment Products. I further understand that Citibank may periodically request for my confirmation of any changes to this CAR record and I acknowledge that it is my responsibility to inform Citibank where any such changes have occurred or whenever such confirmation request is made to me. I further acknowledge that I understand that any inaccurate or incomplete information that I have provided/will provide may affect the outcome of the CAR. Regardless of the outcome of the Customer Account Review, I understand that I can, at any time, request for advice concerning a Specified Investment Product.



## Footnote:

### Description of Investment Product

“investment product” means:

- (a) any capital markets products as defined in section 2(1) of the Securities and Futures Act 2001 of Singapore (the “SFA”);
- (b) spot foreign exchange contracts other than for the purposes of leveraged foreign exchange trading;
- (c) any life policy; or
- (d) any other product as may be prescribed by Citibank;

“capital markets products” means any securities, units in a collective investment scheme, derivatives contracts, spot foreign exchange contracts for the purposes of leveraged foreign exchange trading, and such other products as the Monetary Authority of Singapore may prescribe as capital markets products.

### Description of Specified Investment Products

Investment products that are not Excluded Investment Products.

### Description of Excluded Investment Products

1. “Excluded Investment Product” means –

- (a) any capital markets products that belong to a class of capital markets products listed in the Schedule to the Securities and Futures (Capital Markets Products) Regulations 2018;
- (b) any life policy excluding an investment-linked policy (“ILP”) (other than an ILP where the units in an ILP subfund within the ILP are referred to in paragraph (c));
- (c) any unit in an ILP sub-fund in the circumstances mentioned in paragraph 2; or
- (d) two or more products specified in paragraphs (a) to (c) in this Annex that are linked together in a stapled manner such that one product may not be transferred or otherwise dealt without any of the other product(s).

2. For the purpose of paragraph 1(c), the circumstances are all of the following:

- (a) the investment objectives and investment focus of the ILP subfund, and investment approach of the manager stated in the product summary as prescribed in Appendix A of the Notice on Investment-Linked Policies [MAS Notice 307] require that the manager of the ILP sub-fund must not engage in any securities lending transaction or securities repurchase transaction in relation to the scheme, except where —
  - (i) the securities lending transaction or securities repurchase transaction (as the case may be) is carried out for the sole purpose of efficient portfolio management; and
  - (ii) the total value of securities subject to all the securities lending transactions and securities repurchase transactions entered into by the manager does not exceed 50% of the net asset value of the scheme at any time, and the manager complies with the requirement;
- (b) the product summary as prescribed in Appendix A of the Notice on Investment-Linked Policies [MAS Notice 307] require that the manager of the ILP sub-fund must —
  - (i) invest the property of the ILP sub-fund only in one or more of the following:
    - (A) deposits as defined in section 4B(4) of the Banking Act 1970 of Singapore;
    - (B) gold certificates, gold savings accounts or physical gold;
    - (C) any investment product mentioned in paragraph 1(a) to (d);
    - (D) any product, instrument, contract or arrangement (other than the investment products mentioned in paragraph 1(a) to (d)) if the investment in such product, instrument, contract or arrangement is solely for the purpose of hedging or efficient portfolio management; or
  - (ii) invest the property of the ILP sub-fund as follows:
    - (A) only in one or more products, instruments, contracts or arrangements mentioned in subparagraph (i); but
    - (B) may invest in some other product, instrument, contract or arrangement if —
      - (BA) there is any change in any written law, regulation, direction, rule or non-statutory instrument of the jurisdiction where the scheme is constituted, operating or investing; and
      - (BB) following such change, the manager is restricted or prohibited from investing in any of the products, instruments, contracts or arrangements mentioned in subparagraph (i), and, in the case of either sub-paragraph (i) or (ii), the manager invests the property of the ILP sub-fund only in one or more of the products, instruments, contracts or arrangements mentioned in sub-paragraph (i).

3. Where, under paragraph 2, the manager of the ILP sub-fund invests the property of the ILP sub-fund in any product, instrument, contract or arrangement not mentioned in paragraph 2(b)(i), whether or not in accordance with a requirement mentioned in paragraph 2(b)(ii)(B), then the unit in the ILP sub-fund ceases to be an Excluded Investment Product with effect from the date of the investment.

## (B) RISK WARNING STATEMENT – OVERSEAS LISTED INVESTMENT PRODUCTS

### RISK WARNING

An overseas-listed investment product\* is subject to the laws and regulations of the jurisdiction it is listed in. Before you trade in an overseas-listed investment product or authorize someone else to trade for you, you should be aware of:

- The level of investor protection and safeguards that you are afforded in the relevant foreign jurisdiction, as the overseas-listed investment product would operate under a different regulatory regime.
- The differences between the legal systems in the foreign jurisdiction and Singapore that may affect your ability to recover your funds.
- The tax implications, currency risks, and additional transaction costs that you may have to incur.
- The counterparty and correspondent broker risks that you are exposed to.
- The political, economic and social developments that influence the overseas markets you are investing in.

These and other risks may affect the value of your investment. You should not invest in the product if you do not understand or are not comfortable with such risks.

\* An “overseas-listed investment product” in this statement refers to a capital markets product that is listed for quotation or quoted only on overseas securities exchange(s) or overseas futures exchange(s) (collectively referred to as “overseas exchanges”).

- a) This statement is provided to you in accordance with paragraph 29D of the Notice on the Sale of Investment Products [SFA04-N12].
- b) This statement does not disclose all the risks and other significant aspects of trading in an overseas-listed investment product. You should undertake such transactions only if you understand and are comfortable with the extent of your exposure to the risks.
- c) You should carefully consider whether such trading is suitable for you in light of your experience, objectives, risk appetite, financial resources and other relevant circumstances.

In considering whether to trade or to authorize someone else to trade for you, you should be aware of the following:

#### Differences in Regulatory Regimes

- a. Overseas markets may be subject to different regulations, and may operate differently from approved exchanges in Singapore. For example, there may be different rules providing for the safekeeping of securities and monies held by custodian banks or depositories. This may affect the level of safeguards in place to ensure proper segregation and safekeeping of your investment products or monies held overseas. There is also the risk of your investment products or monies not being protected if the custodian has credit problems or fails. Overseas markets may also have different periods for clearing and settling transactions. These may affect the information available to you regarding transaction prices and the time you have to settle your trade on such overseas markets.
- b. Overseas markets may be subject to rules which may offer different investor protection as compared to Singapore. Before you start to trade, you should be fully aware of the types of redress available to you in Singapore and other relevant jurisdictions, if any.
- c. Overseas-listed investment products may not be subject to the same disclosure standards that apply to investment products listed for quotation or quoted on an approved exchange in Singapore. Where disclosure is made, differences in accounting, auditing and financial reporting standards may also affect the quality and comparability of information provided. It may also be more difficult to locate up-to-date information, and the information published may only be available in a foreign language.

#### Differences in legal systems

- d. In some countries, legal concepts which are practiced in mature legal systems may not be in place or may have yet to be tested in courts. This would make it more difficult to predict with a degree of certainty the outcome of judicial proceedings or even the quantum of damages which may be awarded following a successful claim.

**(B) (Continued)**

- e. The Monetary Authority of Singapore will be unable to compel the enforcement of the rules of the regulatory authorities or markets in other jurisdictions where your transactions will be effected.
- f. The laws of some jurisdictions may prohibit or restrict the repatriation of funds from such jurisdictions including capital, divestment proceeds, profits, dividends and interest arising from investment in such countries. Therefore, there is no guarantee that the funds you have invested and the funds arising from your investment will be capable of being remitted.
- g. Some jurisdictions may also restrict the amount or type of investment products that foreign investors may purchase. This can affect the liquidity and prices of the overseas-listed investment product that you invest in.

**Different costs involved**

- h. There may be tax implications of investing in an overseas-listed investment product. For example, sale proceeds or the receipt of any dividends and other income may be subject to tax levies, duties or charges in the foreign country, in Singapore, or in both countries.
- i. Your investment return on foreign currency-denominated investment products will be affected by exchange rate fluctuations where there is a need to convert from the currency of denomination of the investment products to another currency, or may be affected by exchange controls.
- j. You may have to pay additional costs such as fees and broker's commissions for transactions in overseas exchanges. In some jurisdictions, you may also have to pay a premium to trade certain listed investment products. Therefore, before you begin to trade, you should obtain a clear explanation of all commissions, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

**Counterparty and correspondent broker risks**

- k. Transactions on overseas exchanges or overseas markets are generally effected by your Singapore broker through the use of foreign brokers who have trading and/or clearing rights on those exchanges. All transactions that are executed upon your instructions with such counterparties and correspondent brokers are dependent on their respective due performance of their obligations. The insolvency or default of such counterparties and correspondent brokers may lead to positions being liquidated or closed out without your consent and/or may result in difficulties in recovering your money and assets held overseas.

**Political, Economic and Social Developments**

- l. Overseas markets are influenced by the political, economic and social developments in the foreign jurisdiction, which may be uncertain and may increase the risk of investing in overseas-listed investment products.

**(C) ACCOUNTHOLDER(S) ACKNOWLEDGEMENT**

By signing below,

I/We confirm that I/we have provided information under Part (A) truthfully knowing that Citibank Singapore Limited will rely on such information to offer the Citibank Brokerage Account to me/us. By providing the confirmation, I/we confirm my/our knowledge and/or experience in derivatives.

I/We acknowledge that I/we have received Part (B) Risk Warning Statement For Overseas Listed Investment Products, which is provided to me in accordance with paragraph 29D of SFA04-N12. I/We have been advised to read the Risk Warning Statement carefully before making an investment decision.

Name: \_\_\_\_\_

Name: \_\_\_\_\_

NRIC/Passport No.: \_\_\_\_\_

NRIC/Passport No.: \_\_\_\_\_

Signature: \_\_\_\_\_  
(Main Accountholder)



Signature: \_\_\_\_\_  
(Joint Accountholder)



Date: \_\_\_\_\_

Date: \_\_\_\_\_

**For Bank Use Only**

Date of Receipt: \_\_\_\_\_

Received by: \_\_\_\_\_

Instruction Taken By: \_\_\_\_\_

Signature Verified By: \_\_\_\_\_



### Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

▶ For use by individuals. Entities must use Form W-8BEN-E.  
▶ Go to [www.irs.gov/FormW8BEN](http://www.irs.gov/FormW8BEN) for instructions and the latest information.  
▶ Give this form to the withholding agent or payer. Do not send to the IRS.

**Do NOT use this form if:**

- You are NOT an individual . . . . . W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual . . . . . W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services) . . . . . W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States . . . . . 8233 or W-4
- You are a person acting as an intermediary . . . . . W-8IMY

**Instead, use Form:**

**Note:** If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

**Part I Identification of Beneficial Owner** (see instructions)

1 Name of individual who is the beneficial owner		2 Country of citizenship	
3 Permanent residence address (street, apt. or suite no., or rural route). <b>Do not use a P.O. box or in-care-of address.</b>			
City or town, state or province. Include postal code where appropriate.		Country	
4 Mailing address (if different from above)			
City or town, state or province. Include postal code where appropriate.		Country	
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)			
6a Foreign tax identifying number (see instructions)		6b Check if FTIN not legally required <input type="checkbox"/>	
7 Reference number(s) (see instructions)		8 Date of birth (MM-DD-YYYY) (see instructions)	

**Part II Claim of Tax Treaty Benefits** (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of \_\_\_\_\_ within the meaning of the income tax treaty between the United States and that country.

10 **Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph \_\_\_\_\_ of the treaty identified on line 9 above to claim a \_\_\_\_\_ % rate of withholding on (specify type of income): \_\_\_\_\_

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: \_\_\_\_\_

**Part III Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
  - (a) income not effectively connected with the conduct of a trade or business in the United States;
  - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
  - (c) the partner’s share of a partnership’s effectively connected taxable income; or
  - (d) the partner’s amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

**Sign Here**  I certify that I have the capacity to sign for the person identified on line 1 of this form.

\_\_\_\_\_  
Signature of beneficial owner (or individual authorized to sign for beneficial owner)

\_\_\_\_\_  
Date (MM-DD-YYYY)

\_\_\_\_\_  
Print name of signer



**Please read these instructions carefully before completing the form.**

Citi offices located in countries that have adopted the Common Reporting Standard (CRS) are required to collect and report certain information about an account holder's tax residence status. Please note that Citi may be legally required to report certain information provided in this form and other financial information with respect to your financial accounts to the tax authorities of the country where your account is maintained. In turn, the local tax authorities will exchange the reported information with the tax authorities in the country or countries in which you are a tax resident. Please complete this form only if the account holder is an individual (including a sole proprietor or a decedent)

Definitions of an account holder and other terms are included in the Appendix.

Items that are marked with an asterisk (\*) are required to be completed.

For joint or multiple account holders, use a separate form for each individual.

Please note that this self-certification form is for CRS purposes only. Its completion is not a substitute for the completion of any IRS Form W-9, Form W-8 or FATCA Self-certification that may otherwise be required for U.S. tax purposes.

If you are completing this form on behalf of someone else, please indicate the capacity (custodian, nominee, executor, under power of attorney, etc.) in which you are signing in Part 3. A parent or legal guardian should complete the form on behalf of an account holder who is a minor.

Do not use this form for an entity account holder. Trusts (but not sole proprietors or decedents) are considered entities for this purpose. Instead use the CRS Self-certification Form for Entities.

This Form will remain valid unless there is a change in circumstances that makes this Form incorrect or incomplete. In that case, you must notify Citi within 30 days of any such change and provide us with an updated CRS self-certification.

As a financial institution, Citi does not provide tax advice to its customers. If you have any questions about whether you are a tax resident in any particular country, please contact your tax advisor or visit the OECD AEOI portal for country-by-country information on tax residence at [www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/](http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/).



**CRS Self-certification Form for Individuals - (Part 1)**

*(Please complete Parts 1-3 in BLOCK CAPITALS)*

**Part 1 – Identification of Individual Account Holder**

**A. Name of Account Holder:**

Family Name or Surname(s): \* \_\_\_\_\_

Title: \_\_\_\_\_

First or Given Name: \* \_\_\_\_\_

Middle Name(s) or initial: \_\_\_\_\_

Business Name of a sole proprietorship: \_\_\_\_\_

**B. Current Residence Address:**

Line 1 (e.g. House/Apt/Suite Name, Number, Street):\* \_\_\_\_\_

Line 2 (e.g. Town/City/Province/County/State):\* \_\_\_\_\_

Country:\* \_\_\_\_\_

Postal Code/ZIP Code:\* \_\_\_\_\_

**C. Mailing Address:** *(please only complete if different from the address shown in Section B)*

Line 1 (e.g. House/Apt/Suite Name, Number, Street): \_\_\_\_\_

Line 2 (e.g. Town/City/Province/County/State): \_\_\_\_\_

Country: \_\_\_\_\_

Postal Code/ZIP Code: \_\_\_\_\_

**D. Date of Birth\*** (yyyy/mm/dd) \_\_\_\_\_

**E. Place of Birth<sup>1</sup>**

Town or City of Birth \_\_\_\_\_

Country of Birth \_\_\_\_\_

<sup>1</sup>Complete section E (Place of birth) if required under the domestic law of the country where the account is maintained.



**Part 2 – Country of Tax Residence and related Taxpayer Identification Number (TIN) or equivalent**

Please complete the following table indicating the Account Holder’s tax residence country or countries (i.e. where you are treated as a resident of the country for purposes of its income tax) and the Account Holder’s TIN (if any) for each country indicated. If the Account Holder is tax resident in more than three countries, please use a separate sheet.

If a TIN is unavailable please provide the appropriate reason **A, B** or **C** as indicated below:

**Reason A** – The country where the Account Holder is subject to income tax as a resident does not issue TINs

**Reason B** – The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

**Reason C** – No TIN is required because the tax residence jurisdiction that issued the TIN does not require a Financial Institution to collect and report the TIN.

	Country of tax residence	TIN	If no TIN is shown, enter Reason A, B or C
1			
2			
3			
4			
5			

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason **B** above.

1	
2	
3	
4	
5	



**Part 3 – Declarations and signature\***

1. I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
2. I acknowledge that the information provided on this Form regarding the Account Holder, as well as financial information (e.g., account balance or value, the amount of income or gross proceeds received) respecting the Financial Account(s) to which the Form is applied may be reported to the tax authorities of the country in which this/these account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be a tax resident pursuant to a legal agreement between the competent authorities of these countries on the automatic exchange information on Financial Accounts under the Common Reporting Standard (CRS).
3. I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates
4. If there is a change in circumstances that affects the tax residence status of the Account Holder or causes the information contained herein to become incorrect or incomplete, I understand that I am obligated to inform Citi of the change in circumstances within 30 days of its occurrence and to provide a suitably updated CRS self-certification.

\_\_\_\_\_  
Signature / Name

\_\_\_\_\_  
Date

**Note:** If you are not the Account Holder but are signing this form on behalf of the Account Holder, please indicate the capacity in which you are signing the form (e.g., power of attorney, executor or administrator, parent or guardian) and provide any required documentation of your authority.

Capacity: (\*If applicable) \_\_\_\_\_



**Note:** The following selected definitions are provided to assist you with the completion of this form. If you have any questions about these definitions or require further detail, please contact your tax adviser.

**“Account Holder”** – The person listed or identified as the holder of a Financial Account. A person holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder. In the case of an estate, the decedent should be identified as the Account Holder.

**“Controlling Person”** – A natural person who exercise control over an entity. This definition corresponds to the term “beneficial owner” of an entity, as described in Recommendation 10 (and the Interpretative Note) of the Financial Action Task Force Recommendations (as adopted in February 2012). Where an entity Account Holder is treated as a Passive Non-Financial Entity (“NFE”), a Financial Institution must determine whether such Controlling Persons are Reportable Jurisdiction Persons. If you are a Controlling Person of a Passive NFE, you should complete a CRS self-certification for Controlling Persons instead of this Form.

**“Entity”** – A legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

**“Financial Account”** – An account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; an equity or debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

**“Participating Jurisdiction”** – A jurisdiction (i) with which an agreement is in place pursuant to which it will provide the information set out in the Common Reporting Standard and required for the automatic exchange of financial account information, and (ii) which is identified in a published list.

**“Reportable Jurisdiction”** – A jurisdiction (i) with which an agreement is in place pursuant to which there is an obligation to provide financial account information set forth in the Common Reporting Standard, and (ii) which is identified in a published list.

**“Reportable Jurisdiction Person”** – An individual who is tax resident in a Reportable Jurisdiction under the laws of that jurisdiction.

**“TIN”** – The Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a “functional equivalent”). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number and resident registration number.





## COMMON REPORTING STANDARD (CRS) - REASONABLE EXPLANATION

To: Citibank Singapore Ltd

I confirm that I am not a Tax Resident of \_\_\_\_\_ due to the following reason(s) <sup>1</sup>:  
(indicate jurisdiction/country)

- A student at an educational institution and hold the appropriate visa.
- A teacher, trainee or intern at an educational institution or a participant in an education or cultural exchange visitor program and hold the appropriate visa.
- A foreign individual assigned to diplomatic post or a position in a consulate, embassy or international organization.

<sup>1</sup>The following categories of persons may be deemed tax resident in some jurisdictions/countries and you should seek advice from a qualified tax advisor if in doubt.

Please indicate if any other reason(s) from above:

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Customer Name & Signature

Date

### Bank Use Only

Customer Number:

Received by:

Signature Verified by:

\_\_\_\_\_  
Staff Name & GIED

\_\_\_\_\_  
Staff Name & GIED

# SOURCE OF WEALTH ADDENDUM

## EMPLOYMENT

Employer Name \_\_\_\_\_

Employer Country \_\_\_\_\_ Country of Primary Business Operation \_\_\_\_\_

Employment Start Date (DDMMYYYY) \_\_\_\_\_

\*Industry Classification System \_\_\_\_\_

\*\*Occupation Category \_\_\_\_\_

\*\*\*Occupation Sub-Category \_\_\_\_\_

Monthly Salary \_\_\_\_\_ Currency Type \_\_\_\_\_ Annual Salary Range \_\_\_\_\_

Is the Client Receiving any Pension/Retirement Payments?

Yes Annual Pension/Retirement Payments \_\_\_\_\_ Currency Type \_\_\_\_\_

No

## SELF EMPLOYMENT

Countries of Primary Business Operations \_\_\_\_\_

\*Industry Classification System \_\_\_\_\_

\*\*Occupation Category \_\_\_\_\_

\*\*\*Occupation Sub-Category \_\_\_\_\_

Annual Personal Income \_\_\_\_\_ Currency Type \_\_\_\_\_

## BUSINESS INCOME/OWNERSHIP

Business Name \_\_\_\_\_

Countries of Primary Business Operations \_\_\_\_\_ Country of Incorporation \_\_\_\_\_

Annual Compensation/Annual Personal Income \_\_\_\_\_ Currency Type \_\_\_\_\_

\*Industry Classification System \_\_\_\_\_

## SPOUSE / PARENT / PARTNER

It is the Customer's responsibility to obtain the consent of his/her Spouse/Parent/Partner to collect and disclose their personal data to Citibank. By filling in this form, the Customer represents and warrants that he/she has obtained the consent of his/her Spouse/Parent/Partner to collect and disclose their personal data to Citibank.

First Name and Last Name of Spouse/Parent/Partner \_\_\_\_\_

(Please underline Last Name (Surname))

Relationship to Client \_\_\_\_\_

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent  Cousin

Friend  Former Spouse  Spouse  Parent  Partner

Country of Residence \_\_\_\_\_ Date of Birth (DDMMYYYY) \_\_\_\_\_

Country of Citizenship \_\_\_\_\_ Contribution Percentage \_\_\_\_\_  
100

Does your Spouse/Parent/Partner derive revenue or wealth directly from the production, sale or distribution of cannabis?

Yes  No

Source of Wealth for Spouse/Parent/Partner (please tick where applicable)

### BUSINESS INCOME/OWNERSHIP

Business Name \_\_\_\_\_

### EMPLOYMENT

Employer Name \_\_\_\_\_

## INHERITANCE *Please select Individual or Entity*

### INDIVIDUAL

Relationship to Spouse/Parent/Partner \_\_\_\_\_

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent

Cousin  Friend  Former Spouse  Spouse  Parent  Partner

Individual First Name & Last Name \_\_\_\_\_

### ENTITY

Entity Name \_\_\_\_\_

## INVESTMENT

Does the Client Still Own Investments?

YES Current Value of the Investment \_\_\_\_\_ Currency Type \_\_\_\_\_

NO Value of Investment at the Time of Sale \_\_\_\_\_ Currency Type \_\_\_\_\_

## LOANS/GRANTS/SCHOLARSHIPS *Please select Individual or Entity*

### INDIVIDUAL

Relationship to Spouse/Parent/Partner \_\_\_\_\_

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent

Cousin  Friend  Former Spouse  Spouse  Parent  Partner

Individual First Name & Last Name \_\_\_\_\_

### ENTITY

Entity Name \_\_\_\_\_

## SELF EMPLOYMENT

Industry Classification System \_\_\_\_\_

## TRUST FUND *Please select Individual or Entity*

### Individual

Relationship to Spouse/Parent/Partner \_\_\_\_\_

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent

Cousin  Friend  Former Spouse  Spouse  Parent  Partner

Individual First Name & Last Name \_\_\_\_\_

### Entity

Entity Name \_\_\_\_\_

## PERSONAL REAL ESTATE *Identify Sources of Wealth for Personal Real Estate*

Has the Client sold any Real Estate that Generated Capital Gain?

YES

Value of Personal Real Estate at the Time of Sale \_\_\_\_\_ Currency Type \_\_\_\_\_

NO

Does the Client Own Personal Real Estate that Generates Rental Income?

YES

Annual Net Rental Income \_\_\_\_\_ Currency Type \_\_\_\_\_

NO

## GOVERNMENT SUPPORT

# SOURCE OF WEALTH ADDENDUM

## INVESTMENTS (RETIREMENT ACCOUNTS EXCLUDED)

Start Year of Investment

---

Does the Client Still Own Investments?

YES Current Value of the Investment Currency Type

---

NO Value of Investment at the Time of Sale Currency Type

---

## PERSONAL REAL ESTATE

Original Year of Real Estate Purchase

---

Has the Client Sold Any Real Estate that Generated Capital Gain?

YES Value of Real Estate at the Time of Sale Currency Type

---

NO

Does the Client Own Personal Real Estate that Generate Rental Income?

YES Annual Net Rental Income Currency Type

---

NO

## INHERITANCE / GIFT / PAYOUT

Please select Individual or Entity:

INDIVIDUAL

Relationship to Client

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent

Cousin  Friend  Former Spouse  Spouse  Parent  Partner

Individual First Name & Last Name

---

ENTITY

Entity Name

---

Value of Payment Currency Type

---

Country of Provider of Funds

---

## LOANS / GRANTS / SCHOLARSHIPS

Please select Individual or Entity:

INDIVIDUAL

Relationship to Client

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent

Cousin  Friend  Former Spouse  Spouse  Parent  Partner

Individual First Name & Last Name

---

ENTITY

Entity Name

---

Country of Provider of Funds

---

Value of Payment Currency Type

---

## TRUST FUND

Current Value of the Trust

Currency Type

---

GRANTOR/SETTLER DETAIL

Please select Individual or Entity

INDIVIDUAL

Relationship to Client

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent

Cousin  Friend  Former Spouse  Spouse  Parent  Partner

Individual First Name & Last Name

---

ENTITY

Entity Name

---

Country of Provider of Funds

---

## GOVERNMENT SUPPORT

Yearly Income from Government Support

Currency Type

---

Country of Government Agency

---

---

Applicant's Signature

Date

---

Applicant's Name

NRIC/Passport

---

# SOURCE OF WEALTH INDEX

## \*INDUSTRIAL CLASSIFICATION SYSTEM

- |   |  |
|---|--|
| 001- Accommodation and Food Services                    | 044- Manufacturing   |
| 140- Administrative, Support and Waste Management       | 047- Mining, Quarrying and Oil & Gas Extraction                |
| 074- Agriculture, Forestry, Fishing and Hunting         | 006- Nightlife and Gambling Establishments                     |
| 075- Arts, Entertainment and Recreation Services        | 017- Non-Profit, Charities and Religious Organizations         |
| 014- Car Wash, Garage, Auto Repair and Laundromats      | 131- Professional, Scientific and Technical Services           |
| 141- Construction                                       | 149- Public Administration                                     |
| 081- Educational Services                               | 133- Real Estate   |
| 012- Embassy and Foreign Government Missions/Consulates | 154- Retail Sales, Services and Marketing                      |
| 135- Energy or Other Utilities                          | 049- Technology, Telecommunications and Media                  |
| 008- Finance and Insurance                              | 038- Transportation, Warehousing and Courier/Delivery Services |
| 084- Health Care, Personal Care and Social Assistance   | 165- Wholesale Trade   |
| 018- Legal/Accounting Offices and Services              |  |

## \*\*Occupation Category

1. Architecture, Engineering or Sciences	2. Arts, Design, Entertainment, Sports, or Media	3. Building and Grounds Cleaning and Maintenance	4. Business Operations, Analysis or Human Resources
5. Business or Finance Manager	6. Community, Social Service or Non-Governmental Organization	7. Computer and Mathematical	8. Diplomat/Government
9. Driver, Operator or Aircrew Staff	10. Educator/ Librarian or School Administrator	11. Farming, Fishing, and Forestry	12. Food Preparation and Service Related
13. Healthcare Practitioners and Technical	14. Lawyer/ Paralegal	15. Life, Physical, and Social Science	16. Maintenance or Repair
17. Medical Professional or Staff	18. Military Personnel	19. Office or Administrative Support	20. Personal Care/Grooming
21. Production	22. Sales	23. Security or Protection	24. Trade or Skilled Labor

# SOURCE OF WEALTH INDEX

## \*\*\*Occupation Sub Category

### 1. Architecture, Engineering or Sciences

1 Aerospace Engineering and Operations Technologists and Technicians	10 Civil Engineering Technologists and Technicians	18 Electronics Engineers, Except Computer	27 Marine Engineers and Naval Architects
2 Aerospace Engineers	11 Civil Engineers	19 Engineering Technologists and Technicians, Except Drafters, All Other	28 Materials Engineers
3 Agricultural Engineers	12 Computer Hardware Engineers	20 Engineers, All Other	29 Mechanical Drafters
4 Architects, Except Landscape and Naval	13 Drafters, All Other	21 Environmental Engineering Technologists and Technicians	30 Mechanical Engineering Technologists and Technicians
5 Architectural and Civil Drafters	14 Electrical and Electronic Engineering Technologists and Technicians	22 Environmental Engineers	31 Mechanical Engineers
6 Biomedical Engineers	15 Electrical and Electronics Drafters	23 Health and Safety Engineers, Except Mining Safety Engineers and Inspectors	32 Mining and Geological Engineers, Including Mining Safety Engineers
7 Calibration Technologists and Technicians	16 Electrical Engineers	24 Industrial Engineering Technologists and Technicians	33 Nuclear Engineers
8 Cartographers and Photogrammetrists	17 Electro-Mechanical and Mechatronics Technologists and Technicians	25 Industrial Engineers	34 Petroleum Engineers
9 Chemical Engineers		26 Landscape Architects	35 Surveying and Mapping Technicians
			36 Surveyors

### 2. Arts, Design, Entertainment, Sports, or Media

1 Actors	11 Coaches and Scouts	22 Fine Artists, Including Painters, Sculptors, and Illustrators	33 Photographers
2 Art Directors	12 Commercial and Industrial Designers	23 Floral Designers	34 Producers and Directors
3 Artists and Related Workers, All Other	13 Court Reporters and Simultaneous Captioners	24 Graphic Designers	35 Public Relations Specialists
4 Athletes and Sports Competitors	14 Craft Artists	25 Interior Designers	36 Set and Exhibit Designers
5 Audio and Video Technicians	15 Dancers	26 Interpreters and Translators	37 Sound Engineering Technicians
6 Broadcast Announcers	16 Designers, All Other	27 Lighting Technicians	38 Special Effects Artists and Animators
7 Broadcast News Analysts and Broadcast Reporters	17 Disc Jockeys, Except Radio Disc Jockeys	28 Media and Communication Equipment Workers, All Other	39 Technical Writers
8 Broadcast Technicians	18 Editors	29 Media and Communication Workers, All Other	40 Umpires, Referees, and Other Sports Officials
9 Camera Operators, Television, Video, and Motion Picture	19 Entertainers and Performers, Sports and Related Workers, All Other	30 Merchandise Displayers and Window Trimmers	41 Writers, Authors, and Journalists
10 Choreographers	20 Fashion Designers	31 Music Directors and Composers	
	21 Film and Video Editors	32 Musicians and Singers	

### 3. Building and Grounds Cleaning and Maintenance

1 Building Cleaning Workers, All Other	3 First-Line Supervisors of Landscaping, Lawn Service, and Groundskeeping Workers	5 Janitors and Cleaners, Except Maids and Housekeeping Cleaners	9 Pesticide Handlers, Sprayers, and Applicators, Vegetation
2 First-Line Supervisors of Housekeeping and Janitorial Workers	4 Grounds Maintenance Workers, All Other	6 Landscaping and Groundskeeping Workers	10 Tree Trimmers and Pruners
		7 Maids and Housekeeping Cleaners	
		8 Pest Control Workers	

### 4. Business Operations, Analysis or Human Resources

1 Accountants and Auditors	8 Claims Adjusters, Examiners, and Investigators	19 Fundraisers	30 Project Management Specialists
2 Agents and Business Managers of Artists, Performers, and Athletes	9 Compensation, Benefits, and Job Analysis Specialists	20 Human Resources Specialists	31 Purchasing Agents, Except Wholesale, Retail, and Farm Products
3 Appraisers and Assessors of Real Estate	10 Compliance Officers	21 Insurance Appraisers, Auto Damage	32 Sustainability Analysts
4 Appraisers of Personal and Business Property	11 Cost Estimators	22 Insurance Underwriters	33 Tax Examiners and Collectors, and Revenue Agents
5 Budget Analysts	12 Credit Analysts	23 Labor Relations Specialists	34 Tax Preparers
6 Business Operations Specialists, All Other	13 Credit Counselors	24 Loan Officers	35 Training and Development Specialists
7 Buyers and Purchasing Agents, Farm Products	14 Farm Labor Contractors	25 Logisticians	36 Wholesale and Retail Buyers, Except Farm Products
	15 Financial and Investment Analysts	26 Management Analysts	
	16 Financial Examiners	27 Market Research Analysts and Marketing Specialists	
	17 Financial Risk Specialists	28 Meeting, Convention, and Event Planners	
	18 Financial Specialists, All Other	29 Personal Financial Advisors	

# SOURCE OF WEALTH INDEX

## 5. Business or Finance Manager

- |   |   |   |  |
|---|---|---|--|
| 1 Administrative Services Managers                        | 11 Education and Childcare Administrators, Preschool and Day Care | 21 General and Operations Managers      | 31 Postmasters and Mail Superintendents                      |
| 2 Advertising and Promotions Managers                     | 12 Emergency Management Directors                                 | 22 Human Resources Managers             | 32 Property, Real Estate, and Community Association Managers |
| 3 Architectural and Engineering Managers                  | 13 Entertainment and Recreation Managers, Except Gambling         | 23 Industrial Production Managers       | 33 Public Relations Managers                                 |
| 4 Chief Executives  | 14 Facilities Managers  | 24 Legislators                          | 34 Purchasing Managers                                       |
| 5 Compensation and Benefits Managers                      | 15 Farmers, Ranchers, and Other Agricultural Managers             | 25 Lodging Managers                     | 35 Sales Managers  |
| 6 Computer and Information Systems Managers               | 16 Financial Managers   | 26 Managers, All Other                  | 36 Social and Community Service Managers                     |
| 7 Construction Managers                                   | 17 Food Service Managers  | 27 Marketing Managers                   | 37 Training and Development Managers                         |
| 8 Education Administrators, All Other                     | 18 Fundraising Managers   | 28 Medical and Health Services Managers | 38 Transportation, Storage, and Distribution Managers        |
| 9 Education Administrators, Kindergarten through Grade 12 | 19 Funeral Home Managers  | 29 Natural Sciences Managers            |  |
| 10 Education Administrators, Postsecondary                | 20 Gambling Managers  | 30 Personal Service Managers, All Other |  |

## 6. Community, Social Service or Non-Governmental Organization

- |   |   |  |   |
|---|---|--|---|
| 1 Child, Family, and School Social Workers            | 6 Directors, Religious Activities and Education             | 11 Mental Health and Substance Abuse Social Workers          | 15 Religious Workers, All Other                       |
| 2 Clergy  | 7 Educational, Guidance, and Career Counselors and Advisors | 12 Mental Health Counselors                                  | 16 Social and Human Service Assistants                |
| 3 Community and Social Service Specialists, All Other | 8 Health Educators  | 13 Probation Officers and Correctional Treatment Specialists | 17 Social Workers, All Other                          |
| 4 Community Health Workers                            | 9 Healthcare Social Workers                                 | 14 Rehabilitation Counselors                                 | 18 Substance Abuse and Behavioral Disorder Counselors |
| 5 Counselors, All Other                               | 10 Marriage and Family Therapists                           |  |   |

## 7. Computer and Mathematical

- |  |                                     |  |  |
|--|-------------------------------------|--|--|
| 1 Actuaries                                    | 6 Computer Programmers              | 13 Mathematical Science Occupations, All Other | 18 Software Developers                             |
| 2 Computer and Information Research Scientists | 7 Computer Systems Analysts         | 14 Mathematical Technicians                    | 19 Software Quality Assurance Analysts and Testers |
| 3 Computer Network Architects                  | 8 Computer User Support Specialists | 15 Mathematicians                              | 20 Statisticians                                   |
| 4 Computer Network Support Specialists         | 9 Data Scientists                   | 16 Network and Computer Systems Administrators | 21 Web Developers                                  |
| 5 Computer Occupations, All Other              | 10 Database Administrators          | 17 Operations Research Analysts                | 22 Web or Digital Interface Designers              |
|  | 11 Database Integration Architects  |  |  |
|  | 12 Information Security Analysts    |  |  |

## 8. Diplomat/Government

- |                      |                                       |                        |                           |
|----------------------|---------------------------------------|------------------------|---------------------------|
| 1 Consulate          | 5 Miscellaneous elected official      | 9 Nonprofit Management | 13 Public Health Services |
| 2 Council            | 6 Miscellaneous Nonprofit worker      | 10 Political Diplomacy | 14 Public Housing         |
| 3 Emergency services | 7 Miscellaneous Public Service worker | 11 President           | 15 Public Safety          |
| 4 Mayor              | 8 Nonprofit Administration            | 12 Public Diplomacy    |                           |

## 9. Driver, Operator or Aircrew Staff

- |  |  |   |  |
|--|--|---|--|
| 1 Air Traffic Controllers  | 14 Conveyor Operators and Tenders  | 27 Laborers and Freight, Stock, and Material Movers, Hand             | 41 Refuse and Recyclable Material Collectors |
| 2 Aircraft Cargo Handling Supervisors                                    | 15 Crane and Tower Operators   | 28 Light Truck Drivers  | 42 Sailors and Marine Oilers                 |
| 3 Aircraft Service Attendants  | 16 Dredge Operators  | 29 Locomotive Engineers   | 43 Ship Engineers                            |
| 4 Airfield Operations Specialists  | 17 Driver/Sales Workers  | 30 Machine Feeders and Offbearers                                     | 44 Shuttle Drivers and Chauffeurs            |
| 5 Airline Pilots, Copilots, and Flight Engineers                         | 18 First Line Supervisors of Transportation Passenger Attendants           | 31 Material Moving Workers, All Other                                 | 45 Stockers and Order Fillers                |
| 6 Ambulance Drivers and Attendants, Except Emergency Medical Technicians | 19 First Line Supervisors of Transportation Workers, All Other             | 32 Motor Vehicle Operators, All Other                                 | 46 Subway and Streetcar Operators            |
| 7 Automotive and Watercraft Service Attendants                           | 20 First-Line Supervisors of Helpers, Laborers, and Material Movers, Hand  | 33 Motorboat Operators  | 47 Tank Car, Truck, and Ship Loaders         |
| 8 Bridge and Lock Tenders  | 21 First-Line Supervisors of Material-Moving Machine and Vehicle Operators | 34 Packers and Packagers, Hand  | 48 Taxi Drivers                              |
| 9 Bus Drivers, School  | 22 Flight Attendants   | 35 Parking Attendants   | 49 Traffic Technicians                       |
| 10 Bus Drivers, Transit and Intercity                                    | 23 Gas Compressor and Gas Pumping Station Operators                        | 36 Pump Operators, Except Wellhead Pumps                              | 50 Transportation Inspectors                 |
| 11 Captains, Mates, and Pilots of Water Vessels                          | 24 Heavy and Tractor-Trailer Truck Drivers                                 | 37 Rail Transportation Workers, All Other                             | 51 Transportation Passenger Attendants       |
| 12 Cleaners of Vehicles and Equipment                                    | 25 Hoist and Winch Operators   | 38 Rail Yard Engineers, Dinkey Operators, and Hostlers                | 52 Transportation Workers, All Other         |
|  | 26 Industrial Truck and Tractor Operators                                  | 39 Railroad Brake, Signal, and Switch Operators and Locomotive Firers | 53 Wellhead Pumps                            |
|  |  | 40 Railroad Conductors and Yardmasters                                |  |



# SOURCE OF WEALTH INDEX

## 13 Commercial Pilots

### 10. Educator/ Librarian or School Administrator

- |  |  |   |   |
|--|--|---|---|
| 1 Adult Basic, Adult Secondary, and English as a Second Language Instructors | 16 Computer Science Teachers, Postsecondary                          | 31 Grade 9-12 Teachers, Except Special and Career/Technical Education | 49 Recreation and Fitness Studies Teachers, Postsecondary |
| 2 Agricultural Sciences Teachers, Postsecondary                              | 17 Criminal Justice and Law Enforcement Teachers, Postsecondary      | 32 Health Specialties Teachers, Postsecondary                         | 50 Self-Enrichment Teachers                               |
| 3 Anthropology and Archeology Teachers, Postsecondary                        | 18 Curators  | 33 History Teachers, Postsecondary                                    | 51 Social Sciences Teachers, Postsecondary, All Other     |
| 4 Architecture Teachers, Postsecondary                                       | 19 Economics Teachers, Postsecondary                                 | 34 Instructional Coordinators   | 52 Social Work Teachers, Postsecondary                    |
| 5 Archivists   | 20 Education Teachers, Postsecondary                                 | 35 Kindergarten Teachers, Except Special Education                    | 53 Sociology Teachers, Postsecondary                      |
| 6 Area, Ethnic, and Cultural Studies Teachers, Postsecondary                 | 21 Educational Instruction and Library Workers, All Other            | 36 Law Teachers, Postsecondary  | 54 Special Education Teachers, All Other                  |
| 7 Art, Drama, and Music Teachers, Postsecondary                              | 22 Engineering Teachers, Postsecondary                               | 37 Librarians and Media Collections Specialists                       | 55 Special Education Teachers, Grade 1-8                  |
| 8 Atmospheric, Earth, Marine, and Space Sciences Teachers, Postsecondary     | 23 English Language and Literature Teachers, Postsecondary           | 38 Library Science Teachers, Postsecondary                            | 56 Special Education Teachers, Grade 9-12                 |
| 9 Biological Science Teachers, Postsecondary                                 | 24 Environmental Science Teachers, Postsecondary                     | 39 Library Technicians  | 57 Special Education Teachers, Kindergarten               |
| 10 Business Teachers, Postsecondary  | 25 Family and Consumer Sciences Teachers, Postsecondary              | 40 Mathematical Science Teachers, Postsecondary                       | 58 Special Education Teachers, Pre-Kindergarten           |
| 11 Career/Technical Education Teachers, Grade 1-8                            | 26 Farm and Home Management Educators                                | 41 Museum Technicians and Conservators                                | 59 Substitute Teachers, Short-Term                        |
| 12 Career/Technical Education Teachers, Grade 9-12                           | 27 Foreign Language and Literature Teachers, Postsecondary           | 42 Nursing Instructors and Teachers, Postsecondary                    | 60 Teachers and Instructors, All Other                    |
| 13 Career/Technical Education Teachers, Postsecondary                        | 28 Forestry and Conservation Science Teachers, Postsecondary         | 43 Philosophy and Religion Teachers, Postsecondary                    | 61 Teaching Assistants, All Other                         |
| 14 Chemistry Teachers, Postsecondary   | 29 Geography Teachers, Postsecondary                                 | 44 Physics Teachers, Postsecondary                                    | 62 Teaching Assistants, Postsecondary                     |
| 15 Communications Teachers, Postsecondary                                    | 30 Grade 1-8 Teachers, Except Special and Career/Technical Education | 45 Political Science Teachers, Postsecondary                          | 63 Teaching Assistants, Preschool through Grade 12        |
|  |  | 46 Postsecondary Teachers, All Other                                  | 64 Tutors   |
|  |  | 47 Pre-kindergarten Teachers, Except Special Education                |   |
|  |  | 48 Psychology Teachers, Postsecondary                                 |   |

### 11. Farming, Fishing, and Forestry

- |                                    |  |   |                                |
|------------------------------------|--|---|--------------------------------|
| 1 Agricultural Equipment Operators | 6 Farmworkers and Laborers, Crop, Nursery, and Greenhouse          | 9 Fishers and Related Fishing Workers         | 13 Log Graders and Scalers     |
| 2 Agricultural Inspectors          | 7 Farmworkers, Farm, Ranch, and Aquacultural Animals               | 10 Forest and Conservation Workers            | 14 Logging Equipment Operators |
| 3 Agricultural Workers, All Other  | 8 First-Line Supervisors of Farming, Fishing, and Forestry Workers | 11 Graders and Sorters, Agricultural Products | 15 Logging Workers, All Other  |
| 4 Animal Breeders                  |  | 12 Hunters and Trappers                       |                                |
| 5 Fallers                          |  |   |                                |

### 12. Food Preparation and Service Related

- |                                    |  |   |   |
|------------------------------------|--|---|---|
| 1 Bartenders                       | 6 Cooks, Private Household                                   | 11 Fast Food and Counter Workers                                  | 15 Food Servers, Nonrestaurant                              |
| 2 Chefs and Head Cooks             | 7 Cooks, Restaurant  | 12 First-Line Supervisors of Food Preparation and Serving Workers | 16 Hosts and Hostesses, Restaurant, Lounge, and Coffee Shop |
| 3 Cooks, All Other                 | 8 Cooks, Short Order   | 13 Food Preparation and Serving Related Workers, All Other        | 17 Waiters and Waitresses                                   |
| 4 Cooks, Fast Food                 | 9 Dining Room and Cafeteria Attendants and Bartender Helpers | 14 Food Preparation Workers                                       |   |
| 5 Cooks, Institution and Cafeteria | 10 Dishwashers   |   |   |

# SOURCE OF WEALTH INDEX

## 13. Healthcare Practitioners and Technical

- |  |  |                                    |   |
|--|--|------------------------------------|---|
| 1 Acupuncturists                               | 20 Genetic Counselors  | 32 Neurologists                    | 55 Podiatrists                              |
| 2 Anesthesiologists                            | 21 Health Information Technology, Health Information Management, and Health Informatics Specialists and Analysts | 33 Nuclear Medicine Technologists  | 56 Prosthodontists                          |
| 3 Athletic Trainers                            | 22 Health Technologists and Technicians, All Other   | 34 Nurse Anesthetists              | 57 Psychiatric Technicians                  |
| 4 Audiologists                                 | 23 Healthcare Diagnosing or Treating Practitioners, All Other  | 35 Nurse Midwives                  | 58 Psychiatrists                            |
| 5 Cardiologists                                | 24 Healthcare Practitioners and Technical Workers, All Other   | 36 Nurse Practitioners             | 59 Radiation Therapists                     |
| 6 Cardiovascular Technologists and Technicians | 25 Hearing Aid Specialists   | 37 Obstetricians and Gynecologists | 60 Radiologic Technologists                 |
| 7 Chiropractors                                | 26 Licensed Practical and Licensed Vocational Nurses   | 38 Occupational Therapists         | 61 Radiologists                             |
| 8 Dental Hygienists                            | 27 Magnetic Resonance Imaging Technologists  | 39 Ophthalmic Medical Technicians  | 62 Recreational Therapists                  |
| 9 Dentists, All Other Specialists              | 28 Medical and Clinical Laboratory Technicians   | 40 Ophthalmologists                | 63 Registered Nurses                        |
| 10 Dentists, General                           | 29 Medical and Clinical Laboratory Technologists   | 41 Opticians, Dispensing           | 64 Respiratory Therapists                   |
| 11 Dermatologists                              | 30 Medical Dosimetrists  | 42 Optometrists                    | 65 Speech-Language Pathologists             |
| 12 Diagnostic Medical Sonographers             | 31 Medical Registrar and Records Specialists   | 43 Oral and Maxillofacial Surgeons | 66 Surgeons, All Other                      |
| 13 Dietetic Technicians                        |  | 44 Orthodontists                   | 67 Surgeons, Pediatric                      |
| 14 Dietitians and Nutritionists                |  | 45 Orthopaedic Surgeons            | 68 Surgical Assistants                      |
| 15 Emergency Medical Technicians               |  | 46 Orthotists and Prosthetists     | 69 Surgical Technologists                   |
| 16 Emergency Medicine Physicians               |  | 47 Paramedics                      | 70 Therapists, All Other                    |
| 17 Exercise Physiologists                      |  | 48 Pediatricians, General          | 71 Veterinarians                            |
| 18 Family Medicine Physicians                  |  | 49 Pharmacists                     | 72 Veterinary Technologists and Technicians |
| 19 General Internal Medicine Physicians        |  | 50 Pharmacy Technicians            |   |
|  |  | 51 Physical Therapists             |   |
|  |  | 52 Physician Assistants            |   |
|  |  | 53 Physicians, All Other           |   |
|  |  | 54 Physicians, Pathologists        |   |

## 14. Lawyer/ Paralegal

- |   |  |                                    |   |
|---|--|------------------------------------|---|
| 1 Administrative Law Judges, Adjudicators, and Hearing Officers | 3 Judges, Magistrate Judges, and Magistrates | 5 Lawyers                          | 7 Paralegals and Legal Assistants             |
| 2 Arbitrators, Mediators, and Conciliators                      | 4 Judicial Law Clerks                        | 6 Legal Support Workers, All Other | 8 Title Examiners, Abstractors, and Searchers |

## 15. Life, Physical, and Social Science

- |  |   |  |   |
|--|---|--|---|
| 1 Agricultural Technicians               | 14 Environmental Science and Protection Technicians, Including Health | 24 Geoscientists, Except Hydrologists and Geographers        | 36 Occupational Health and Safety Technicians       |
| 2 Animal Scientists                      | 15 Environmental Scientists and Specialists, Including Health         | 25 Historians  | 37 Physical Scientists, All Other                   |
| 3 Anthropologists and Archeologists      | 16 Epidemiologists  | 26 Hydrologic Technicians                                    | 38 Physicists                                       |
| 4 Astronomers                            | 17 Food Science Technicians   | 27 Hydrologists  | 39 Political Scientists                             |
| 5 Atmospheric and Space Scientists       | 18 Food Scientists and Technologists                                  | 28 Industrial-Organizational Psychologists                   | 40 Psychologists, All Other                         |
| 6 Biochemists and Biophysicists          | 19 Forensic Science Technicians                                       | 29 Life Scientists, All Other                                | 41 School Psychologists                             |
| 7 Biological Scientists, All Other       | 20 Forest and Conservation Technicians                                | 30 Life, Physical, and Social Science Technicians, All Other | 42 Social Science Research Assistants               |
| 8 Biological Technicians                 | 21 Foresters  | 31 Materials Scientists                                      | 43 Social Scientists and Related Workers, All Other |
| 9 Chemical Technicians                   | 22 Geographers  | 32 Medical Scientists, Except Epidemiologists                | 44 Sociologists                                     |
| 10 Chemists                              | 23 Geological Technicians, Except Hydrologic Technicians              | 33 Microbiologists   | 45 Soil and Plant Scientists                        |
| 11 Clinical and Counseling Psychologists | 24 Geoscientists, Except Hydrologists and Geographers                 | 34 Nuclear Technicians                                       | 46 Survey Researchers                               |
| 12 Conservation Scientists               |   | 35 Occupational Health and Safety Specialists                | 47 Urban and Regional Planners                      |
| 13 Economists                            |   |  | 48 Zoologists and Wildlife Biologists               |

# SOURCE OF WEALTH INDEX

## 16. Maintenance or Repair

- |   |  |   |  |
|---|--|---|--|
| 1 Aircraft Mechanics and Service Technicians                          | 14 Electrical and Electronics Installers and Repairers, Transportation Equipment | 24 Helpers--Installation, Maintenance, and Repair Workers   | 39 Precision Instrument and Equipment Repairers, All Other                       |
| 2 Automotive Body and Related Repairers                               | 15 Electrical and Electronics Repairers, Commercial and Industrial Equipment     | 25 Home Appliance Repairers                                 | 40 Radio, Cellular, and Tower Equipment Installers and Repairers                 |
| 3 Automotive Glass Installers and Repairers                           | 16 Electrical and Electronics Repairers, Powerhouse, Substation, and Relay       | 26 Industrial Machinery Mechanics and Millwrights           | 41 Rail Car Repairers  |
| 4 Automotive Service Technicians and Mechanics                        | 17 Electrical Power-Line Installers and Repairers                                | 27 Installation, Maintenance, and Repair Workers, All Other | 42 Recreational Vehicle Service Technicians                                      |
| 5 Avionics Technicians  | 18 Electronic Equipment Installers and Repairers, Motor Vehicles                 | 28 Locksmiths and Safe Repairers                            | 43 Refractory Materials Repairers, Except Brickmasons                            |
| 6 Bicycle Repairers   | 19 Electronic Home Entertainment Equipment Installers and Repairers              | 29 Maintenance and Repair Workers, General                  | 44 Riggers   |
| 7 Bus and Truck Mechanics and Diesel Engine Specialists               | 20 Fabric Menders, Except Garment  | 30 Maintenance Workers, Machinery                           | 45 Security and Fire Alarm Systems Installers                                    |
| 8 Camera and Photographic Equipment Repairers                         | 21 Farm Equipment Mechanics and Service Technicians                              | 31 Manufactured Building and Mobile Home Installers         | 46 Signal and Track Switch Repairers   |
| 9 Coin, Vending, and Amusement Machine Servicers and Repairers        | 22 First-Line Supervisors of Mechanics, Installers, and Repairers                | 32 Mechanical Door Repairers                                | 47 Telecommunications Equipment Installers and Repairers, Except Line Installers |
| 10 Commercial Divers  | 23 Heating, Air Conditioning, and Refrigeration Mechanics and Installers         | 33 Medical Equipment Repairers                              | 48 Telecommunications Line Installers and Repairers                              |
| 11 Computer, Automated Teller, and Office Machine Repairers           |  | 34 Mobile Heavy Equipment Mechanics, Except Engines         | 49 Tire Repairers and Changers   |
| 12 Control and Valve Installers and Repairers, Except Mechanical Door |  | 35 Motorboat Mechanics and Service Technicians              | 50 Watch Repairers   |
| 13 Electric Motor, Power Tool, and Related Repairers                  |  | 36 Motorcycle Mechanics                                     | 51 Wind Turbine Service Technicians  |
|   |  | 37 Musical Instrument Repairers and Tuners                  |  |
|   |  | 38 Outdoor Power Equipment and Other Small Engine Mechanics |  |

## 17. Medical Professional or Staff

- |   |                               |                                    |   |
|---|-------------------------------|------------------------------------|---|
| 1 Dental Assistants                     | 5 Medical Assistants          | 10 Occupational Therapy Assistants | 15 Physical Therapist Assistants                          |
| 2 Healthcare Support Workers, All Other | 6 Medical Equipment Preparers | 11 Orderlies                       | 16 Psychiatric Aides                                      |
| 3 Home Health Aides                     | 7 Medical Transcriptionists   | 12 Pharmacy Aides                  | 17 Veterinary Assistants and Laboratory Animal Caretakers |
| 4 Massage Therapists                    | 8 Nursing Assistants          | 13 Phlebotomists                   |   |
| 5 Medical Assistants                    | 9 Occupational Therapy Aides  | 14 Physical Therapist Aides        |   |

## 18. Military Personnel

- |  |   |  |  |
|--|---|--|--|
| 1 Air Crew Members                         | 6 Armored Assault Vehicle Officers        | 11 First-Line Supervisors of Air Crew Members                          | 16 Military Enlisted Tactical Operations and Air/Weapons Specialists and Crew Members, All Other |
| 2 Air Crew Officers                        | 7 Artillery and Missile Crew Members      | 12 First-Line Supervisors of All Other Tactical Operations Specialists | 17 Military Officer Special and Tactical Operations Leaders, All Other                           |
| 3 Aircraft Launch and Recovery Officers    | 8 Artillery and Missile Officers          | 13 First-Line Supervisors of Weapons Specialists/Crew Members          | 18 Special Forces  |
| 4 Aircraft Launch and Recovery Specialists | 9 Command and Control Center Officers     | 14 Infantry  | 19 Special Forces Officers   |
| 5 Armored Assault Vehicle Crew Members     | 10 Command and Control Center Specialists | 15 Infantry Officers   |  |

## 19. Office or Administrative Support

- |  |  |  |  |
|--|--|--|--|
| 1 Bill and Account Collectors                      | 16 Executive Secretaries and Executive Administrative Assistants       | 30 Medical Secretaries and Administrative Assistants                         | 45 Receptionists and Information Clerks  |
| 2 Billing and Posting Clerks                       | 17 File Clerks   | 31 Meter Readers, Utilities  | 46 Reservation and Transportation Ticket Agents and Travel Clerks                  |
| 3 Bookkeeping, Accounting, and Auditing Clerks     | 18 Financial Clerks, All Other   | 32 New Accounts Clerks   | 47 Secretaries and Administrative Assistants, Except Legal, Medical, and Executive |
| 4 Brokerage Clerks                                 | 19 First-Line Supervisors of Office and Administrative Support Workers | 33 Office and Administrative Support Workers, All Other                      | 48 Shipping, Receiving, and Inventory Clerks                                       |
| 5 Cargo and Freight Agents                         | 20 Gambling Cage Workers   | 34 Office Clerks, General  | 49 Statistical Assistants  |
| 6 Communications Equipment Operators, All Other    | 21 Hotel, Motel, and Resort Desk Clerks                                | 35 Office Machine Operators, Except Computer                                 | 50 Switchboard Operators, Including Answering Service                              |
| 7 Correspondence Clerks                            | 22 Human Resources Assistants, Except Payroll and Timekeeping          | 36 Order Clerks  | 51 Telephone Operators   |
| 8 Couriers and Messengers                          | 23 Information and Record Clerks, All Other                            | 37 Payroll and Timekeeping Clerks  | 52 Tellers   |
| 9 Court, Municipal, and License Clerks             | 24 Insurance Claims and Policy Processing Clerks                       | 38 Police, Fire, and Ambulance Dispatchers                                   | 53 Weighers, Measurers, Checkers, and Samplers, Recordkeeping                      |
| 10 Credit Authorizers, Checkers, and Clerks        | 25 Interviewers, Except Eligibility and Loan                           | 39 Postal Service Clerks   | 54 Word Processors and Typists   |
| 11 Customer Service Representatives                | 26 Legal Secretaries and Administrative Assistants                     | 40 Postal Service Mail Carriers  |  |
| 12 Data Entry Keyers                               | 27 Library Assistants, Clerical  | 41 Postal Service Mail Sorters, Processors, and Processing Machine Operators |  |
| 13 Desktop Publishers                              | 28 Loan Interviewers and Clerks  | 42 Procurement Clerks  |  |
| 14 Dispatchers, Except Police, Fire, and Ambulance | 29 Mail Clerks and Mail Machine Operators, Except Postal Service       | 43 Production, Planning, and Expediting Clerks                               |  |
| 15 Eligibility Interviewers, Government Programs   |  | 44 Proofreaders and Copy Markers   |  |

# SOURCE OF WEALTH INDEX

## 20. Personal Care/Grooming

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|--|---|--|---|
| 1 Amusement and Recreation Attendants                      | 11 Exercise Trainers and Group Fitness Instructors  | 17 Gambling Dealers                                    | 25 Nonfarm Animal Caretakers                    |
| 2 Animal Trainers  | 12 First-line Supervisors of Entertainment and Recreation Workers, Except Gambling Services Workers | 18 Gambling Service Workers, All Other                 | 26 Personal Care Aides                          |
| 3 Baggage Porters and Bellhops                             | 13 First-line Supervisors of Gambling Services Workers  | 19 Hairdressers, Hairstylists, and Cosmetologists      | 27 Personal Care and Service Workers, All Other |
| 4 Barbers  | 14 First-Line Supervisors of Personal Service Workers   | 20 Locker Room, Coatroom, and Dressing Room Attendants | 28 Recreation Workers                           |
| 5 Childcare Workers  | 15 Funeral Attendants   | 21 Makeup Artists, Theatrical and Performance          | 29 Residential Advisors                         |
| 6 Concierges   | 16 Gambling and Sports Book Writers and Runners   | 22 Manicurists and Pedicurists                         | 30 Shampooers                                   |
| 7 Costume Attendants                                       |   | 23 Morticians, Undertakers, and Funeral Arrangers      | 31 Skincare Specialists                         |
| 8 Crematory Operators                                      |   | 24 Motion Picture Projectionists                       | 32 Tour Guides and Escorts                      |
| 9 Embalmers  |   |  | 33 Travel Guides                                |
| 10 Entertainment Attendants and Related Workers, All Other |   |  | 34 Ushers, Lobby Attendants, and Ticket Takers  |

## 21. Production

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|--|--|--|---|
| 1 Adhesive Bonding Machine Operators and Tenders   | 26 Extruding and Forming Machine Setters, Operators, and Tenders, Synthetic and Glass Fibers                 | 54 Milling and Planing Machine Setters, Operators, and Tenders, Metal and Plastic                      | 85 Sewers, Hand   |
| 2 Aircraft Structure, Surfaces, Rigging, and Systems Assemblers                            | 27 Extruding, Forming, Pressing, and Compacting Machine Setters, Operators, and Tenders                      | 55 Mixing and Blending Machine Setters, Operators, and Tenders   | 86 Sewing Machine Operators   |
| 3 Assemblers and Fabricators, All Other  | 28 Fabric and Apparel Patternmakers  | 56 Model Makers, Metal and Plastic   | 87 Shoe and Leather Workers and Repairers   |
| 4 Bakers   | 29 Fiberglass Laminators and Fabricators   | 57 Model Makers, Wood  | 88 Shoe Machine Operators and Tenders   |
| 5 Butchers and Meat Cutters  | 30 First-Line Supervisors of Production and Operating Workers  | 58 Molders, Shapers, and Casters, Except Metal and Plastic   | 89 Slaughterers and Meat Packers  |
| 6 Cabinetmakers and Bench Carpenters   | 31 Food and Tobacco Roasting, Baking, and Drying Machine Operators and Tenders                               | 59 Molding, Coremaking, and Casting Machine Setters, Operators, and Tenders, Metal and Plastic         | 90 Stationary Engineers and Boiler Operators  |
| 7 Chemical Equipment Operators and Tenders   | 32 Food Batchmakers  | 60 Multiple Machine Tool Setters, Operators, and Tenders, Metal and Plastic                            | 91 Structural Metal Fabricators and Fitters   |
| 8 Chemical Plant and System Operators  | 33 Food Cooking Machine Operators and Tenders  | 61 Nuclear Power Reactor Operators   | 92 Tailors, Dressmakers, and Custom Sewers  |
| 9 Cleaning, Washing, and Metal Pickling Equipment Operators and Tenders                    | 34 Food Processing Workers, All Other  | 62 Ophthalmic Laboratory Technicians   | 93 Team Assemblers  |
| 10 Coating, Painting, and Spraying Machine Setters, Operators, and Tenders                 | 35 Forging Machine Setters, Operators, and Tenders, Metal and Plastic  | 63 Packaging and Filling Machine Operators and Tenders   | 94 Textile Bleaching and Dyeing Machine Operators and Tenders                         |
| 11 Coil Winders, Tapers, and Finishers   | 36 Foundry Mold and Coremakers   | 64 Painters, Transportation Equipment  | 95 Textile Cutting Machine Setters, Operators, and Tenders                            |
| 12 Computer Numerically Controlled Tool Operators  | 37 Furnace, Kiln, Oven, Drier, and Kettle Operators and Tenders  | 65 Painting, Coating, and Decorating Workers   | 96 Textile Knitting and Weaving Machine Setters, Operators, and Tenders               |
| 13 Computer Numerically Controlled Tool Programmers  | 38 Furniture Finishers   | 66 Paper Goods Machine Setters, Operators, and Tenders   | 97 Textile Winding, Twisting, and Drawing Out Machine Setters, Operators, and Tenders |
| 14 Cooling and Freezing Equipment Operators and Tenders                                    | 39 Gas Plant Operators   | 67 Patternmakers, Metal and Plastic  | 98 Textile, Apparel, and Furnishings Workers, All Other                               |
| 15 Crushing, Grinding, and Polishing Machine Setters, Operators, and Tenders               | 40 Grinding and Polishing Workers, Hand  | 68 Patternmakers, Wood   | 99 Timing Device Assemblers and Adjusters   |
| 16 Cutters and Trimmers, Hand  | 41 Grinding, Lapping, Polishing, and Buffing Machine Tool Setters, Operators, and Tenders, Metal and Plastic | 69 Petroleum Pump System Operators, Refinery Operators, and Gaugers                                    | 100 Tire Builders   |
| 17 Cutting and Slicing Machine Setters, Operators, and Tenders                             | 42 Heat Treating Equipment Setters, Operators, and Tenders, Metal and Plastic                                | 70 Photographic Process Workers and Processing Machine Operators                                       | 101 Tool and Die Makers   |
| 18 Cutting, Punching, and Press Machine Setters, Operators, and Tenders, Metal and Plastic | 43 Helpers--Production Workers   | 71 Plant and System Operators, All Other   | 102 Tool Grinders, Filers, and Sharpeners   |
| 19 Dental Laboratory Technicians   | 44 Inspectors, Testers, Sorters, Samplers, and Weighers  | 72 Plating and Coating Machine Setters, Operators, and Tenders, Metal and Plastic                      | 103 Upholsterers  |
| 20 Drilling and Boring Machine Tool Setters, Operators, and Tenders, Metal and Plastic     | 45 Jewelers and Precious Stone and Metal Workers   | 73 Pourers and Casters, Metal  | 104 Water and Wastewater Treatment Plant and System Operators                         |
| 21 Electrical and Electronic Equipment Assemblers  | 46 Lathe and Turning Machine Tool Setters, Operators, and Tenders, Metal and Plastic                         | 74 Power Distributors and Dispatchers  | 105 Welders, Cutters, Solderers, and Brazers  |
| 22 Electromechanical Equipment Assemblers  | 47 Laundry and Dry-Cleaning Workers  | 75 Power Plant Operators   | 106 Welding, Soldering, and Brazing Machine Setters, Operators, and Tenders           |
| 23 Engine and Other Machine Assemblers   | 48 Layout Workers, Metal and Plastic   | 76 Prepress Technicians and Workers  | 107 Woodworkers, All Other  |
| 24 Etchers and Engravers   | 49 Machinists  | 77 Pressers, Textile, Garment, and Related Materials   | 108 Woodworking Machine Setters, Operators, and Tenders, Except Sawing                |
| 25 Extruding and Drawing Machine Setters, Operators, and Tenders, Metal and Plastic        | 50 Meat, Poultry, and Fish Cutters and Trimmers  | 78 Print Binding and Finishing Workers   |   |
|  | 51 Medical Appliance Technicians   | 79 Printing Press Operators  |   |
|  | 52 Metal Workers and Plastic Workers, All Other  | 80 Production Workers, All Other   |   |
|  | 53 Metal-Refining Furnace Operators and Tenders  | 81 Rolling Machine Setters, Operators, and Tenders, Metal and Plastic                                  |   |
|  |  | 82 Sawing Machine Setters, Operators, and Tenders, Wood  |   |
|  |  | 83 Semiconductor Processing Technicians  |   |
|  |  | 84 Separating, Filtering, Clarifying, Precipitating, and Still Machine Setters, Operators, and Tenders |   |

# SOURCE OF WEALTH INDEX

## 22. Sales

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|--|--|---|--|
| 1 Advertising Sales Agents   | 7 First-Line Supervisors of Retail Sales Workers | 14 Retail Salespersons  | 20 Sales Representatives, Wholesale and Manufacturing, Technical and Scientific Products |
| 2 Cashiers   | 8 Gambling Change Persons and Booth Cashiers     | 15 Sales and Related Workers, All Other   | 21 Securities, Commodities, and Financial Services Sales Agents                          |
| 3 Counter and Rental Clerks  | 9 Insurance Sales Agents                         | 16 Sales Engineers  | 22 Telemarketers   |
| 4 Demonstrators and Product Promoters                                      | 10 Models  | 17 Sales Representatives of Services, Except Advertising, Insurance, Travel, and Financial Services | 23 Travel Agents   |
| 5 Door-to-Door Sales Workers, News and Street Vendors, and Related Workers | 11 Parts Salespersons                            | 18 Sales Representatives, Services, All Other   |  |
| 6 First-Line Supervisors of Non-Retail Sales Workers                       | 12 Real Estate Brokers                           | 19 Sales Representatives, Wholesale and Manufacturing, Except Technical and Scientific Products     |  |

## 23. Security or Protection

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|---|--|--|--|
| 1 Animal Control Workers                          | 9 First-Line Supervisors of Fire Fighting and Prevention Workers   | 13 Fish and Game Wardens   | 19 Private Detectives and Investigators  |
| 2 Bailiffs  | 10 First-Line Supervisors of Police and Detectives                 | 14 Forest Fire Inspectors and Prevention Specialists                         | 20 Protective Service Workers, All Other |
| 3 Correctional Officers and Jailers               | 11 First-Line Supervisors of Protective Service Workers, All Other | 15 Gambling Surveillance Officers and Gambling Investigators                 | 21 School Bus Monitors                   |
| 4 Crossing Guards and Flaggers                    | 12 First-Line Supervisors of Security Workers                      | 16 Lifeguards, Ski Patrol, and Other Recreational Protective Service Workers | 22 Security Guards                       |
| 5 Detectives and Criminal Investigators           |  | 17 Parking Enforcement Workers   | 23 Transit and Railroad Police           |
| 6 Fire Inspectors and Investigators               |  | 18 Police and Sheriff's Patrol Officers                                      | 24 Transportation Security Screeners     |
| 7 Firefighters                                    |  |  |  |
| 8 First-Line Supervisors of Correctional Officers |  |  |  |

## 24. Trade or Skilled Labor

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|--|--|---|--|
| 1 Boilermakers   | 16 Explosives Workers, Ordnance Handling Experts, and Blasters                 | 30 Helpers--Pipelayers, Plumbers, Pipefitters, and Steamfitters   | 45 Reinforcing Iron and Rebar Workers              |
| 2 Brickmasons and Blockmasons  | 17 Extraction Workers, All Other   | 31 Helpers--Roofers   | 46 Rock Splitters, Quarry                          |
| 3 Carpenters   | 18 Fence Erectors  | 32 Highway Maintenance Workers                                    | 47 Roof Bolters, Mining                            |
| 4 Carpet Installers  | 19 First-Line Supervisors of Construction Trades and Extraction Workers        | 33 Insulation Workers, Floor, Ceiling, and Wall                   | 48 Roofers   |
| 5 Cement Masons and Concrete Finishers                                   | 20 Floor Layers, Except Carpet, Wood, and Hard Tiles                           | 34 Insulation Workers, Mechanical                                 | 49 Rotary Drill Operators, Oil and Gas             |
| 6 Construction and Building Inspectors                                   | 21 Floor Sanders and Finishers   | 35 Loading and Moving Machine Operators, Underground Mining       | 50 Roustabouts, Oil and Gas                        |
| 7 Construction and Related Workers, All Other                            | 22 Glaziers  | 36 Operating Engineers and Other Construction Equipment Operators | 51 Segmental Pavers                                |
| 8 Construction Laborers  | 23 Hazardous Materials Removal Workers   | 37 Painters, Construction and Maintenance                         | 52 Septic Tank Servicers and Sewer Pipe Cleaners   |
| 9 Continuous Mining Machine Operators                                    | 24 Helpers, Construction Trades, All Other                                     | 38 Paperhangers   | 53 Service Unit Operators, Oil and Gas             |
| 10 Derrick Operators, Oil and Gas  | 25 Helpers--Brickmasons, Blockmasons, Stonemasons, and Tile and Marble Setters | 39 Paving, Surfacing, and Tamping Equipment Operators             | 54 Sheet Metal Workers                             |
| 11 Drywall and Ceiling Tile Installers                                   | 26 Helpers--Carpenters   | 40 Pile-Driver Operators  | 55 Solar Photovoltaic Installers                   |
| 12 Earth Drillers, Except Oil and Gas                                    | 27 Helpers--Electricians   | 41 Pipelayers   | 56 Stonemasons                                     |
| 13 Electricians  | 28 Helpers--Extraction Workers   | 42 Plasterers and Stucco Masons                                   | 57 Structural Iron and Steel Workers               |
| 14 Elevator Installers and Repairers                                     | 29 Helpers--Painters, Paperhangers, Plasterers, and Stucco Masons              | 43 Plumbers, Pipefitters, and Steamfitters                        | 58 Tapers  |
| 15 Excavating and Loading Machine and Dragline Operators, Surface Mining |  | 44 Rail-Track Laying and Maintenance Equipment Operators          | 59 Terrazzo Workers and Finishers                  |
|  |  |   | 60 Tile and Stone Setters                          |
|  |  |   | 61 Underground Mining Machine Operators, All Other |